


Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

| | | | |
|--|---------------|-------------|---|
| Attorney Docket No. | 4470-00613 | Total Pages | 19 |
| First Named Inventor or Application Identifier | | |  |
| Kevin S. Stein | | | |
| Express Mail Label No. | EL812733915US | | |

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** [Total Pages **10**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ **Drawing(s)** (35 USC 113) [Total Sheets **4**]
4. ☒ **Oath or Declaration** [Total Pages **3**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement admitting deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ **Incorporation by Reference** (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (as originally filed)
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Status is hereby declared.
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address
below.




26753

PATENT TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington D.C., 20231 on 10/31/2001. The Express Label is EL812733915US


Barbara A. Johnson

Oct. 31, 2001
Date

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10/31/01

1066 U.S. PAT. & TM. OFF. (01/97)

FEE TRANSMITTAL

COMPLETE IF KNOWN

| | |
|------------------------|----------------|
| Application Number | |
| Filing Date | 10/31/01 |
| First Named Inventor | Kevin S. Stein |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 4470-00613 |

Total Amount of Payment (\$) 740.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01.2000

Deposit Account Name Andrus, Scales, Starke & Sawall, LLP

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing Of the Notice of Allowance, 37 CFR 1.311(b)

3. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/01/97)

| 1. Filing Fee | | | | |
|-----------------|------------------------|-----|-------|--------------|
| Large Entity | Small Entity | Fee | Fee | Description |
| Code (\$) | Code (\$) | | | |
| 101 740 201 370 | Utility filing fee | | \$740 | |
| 106 310 206 155 | Design filing fee | | | |
| 107 480 207 240 | Plant filing fee | | | |
| 108 790 208 395 | Reissue filing fee | | | |
| 114 150 214 75 | Provisional filing fee | | | |
| SUBTOTAL (1) | | | | (\$) 740.00 |

| 2. Claims | | | | Extra | Fee from below | Fee Paid |
|---|---|------|---|-------|----------------|----------|
| Total claims | 13 | -20= | 0 | X | = | |
| Independent Claims | 2 | -3 = | 0 | X | = | |
| Multiple Dependent Claims | | X | = | | | |
| Large Entity Small Entity Fee Fee Description | | | | | | |
| Code (\$) | Code (\$) | | | | | |
| 103 18 203 9 | Claims in excess of 20 | | | | | |
| 102 78 202 39 | Independent claims in excess of 3 | | | | | |
| 104 270 204 135 | Multiple dependent claim | | | | | |
| 109 82 209 41 | Reissue independent claims over original patent | | | | | |
| 110 22 210 11 | Reissue claims in excess of 20 and over original patent | | | | | |
| SUBTOTAL (2) | | | | | | (\$) 0 |

FEE CALCULATION (continued)

| 2. Additional Fees | | | | |
|-----------------------|--|-----|-----|-------------|
| Large Entity | Small Entity | Fee | Fee | Description |
| Code (\$) | Code (\$) | | | |
| 105 130 205 65 | Surcharge-late filing fee or oath | | | |
| 127 50 227 25 | Surcharge-late provisional filing fee or cover sheet | | | |
| 139 130 139 130 | Non-English specification | | | |
| 147 2,520 147 2,520 | For filing a request for reexamination | | | |
| 112 920* 112 920* | Requesting publication of SIR prior to Examiner action | | | |
| 113 1,840* 113 1,840* | Requesting publication of SIR after Examiner action | | | |
| 115 110 215 55 | Extension for response within first month | | | |
| 116 400 216 200 | Extension for response within second month | | | |
| 117 950 217 475 | Extension for response within third month | | | |
| 118 1,510 218 755 | Extension for response within fourth month | | | |
| 128 2,060 228 1,030 | Extension for response within fifth month | | | |
| 119 310 219 155 | Notice of appeal | | | |
| 120 310 220 155 | Filing a brief in support of an appeal | | | |
| 121 270 221 135 | Request for oral hearing | | | |
| 138 1,510 138 1,510 | Petition to institute a public use proceeding | | | |
| 140 110 240 55 | Petition to revive unavoidably abandoned application | | | |
| 141 1,320 241 660 | Petition to revive unintentionally abandoned application | | | |
| 142 1,320 242 660 | Utility issue fee (or reissue) | | | |
| 143 450 243 225 | Design issue fee | | | |
| 144 670 244 335 | Plant issue fee | | | |
| 122 130 122 130 | Petitions to the Commissioner | | | |
| 123 50 123 50 | Petitions related to provisional applications | | | |
| 126 240 126 240 | Submission of Information Disclosure Statement | | | |
| 581 40 581 40 | Recording each patent assignment per property (times number of properties) | | | |
| 146 790 246 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | |
| 149 790 249 395 | For each additional invention to be examined (37 CFR 1.129(b)) | | | |
| Other fee (specify) | | | | |
| Other fee (specify) | | | | |
| SUBTOTAL (3) | | | | (\$) 0 |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Type or Printed name Joseph J. Jochman, Jr.
Andrus, Scales, Starke & Sawall, LLP
Signature [Signature]

COMPLETE (if applicable)

Registration Number 25,058
Date 10/31/01
Deposit Account User ID

10002080-103101